

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 8562-AG09-1029-224

IN THE MATTER OF:)
)
Richard J. Stratman)
Respondent)
)
5027 Columbia Circle)
Hamilton, OH 45011)
)
License Number: 540947)
)
Type of Agency Action: Enforcement)

FILED

NOV 17 2009
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER AND APPROVAL

The Indiana Department of Insurance (“Department”) and Richard J. Stratman, (“Respondent”), a licensed non-resident Indiana insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent’s license, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent’s Indiana non-resident insurance producer license number 540947 is permanently revoked, effective immediately.

ALL OF WHICH IS ORDERED this 17th day of Nov., 2009.



Carol Cutter, Commissioner
Indiana Department of Insurance

Distribution:

Nikolas P. Mann
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

Richard J. Stratman
5027 Columbia Circle
Hamilton, OH 45011

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is entered into by Nikolas P. Mann, Attorney for and on behalf of the State of Indiana, Department of Insurance ("Department"), and Richard J. Stratman ("Respondent"), a licensed Indiana non-resident insurance producer, to resolve all matters in the administrative action commenced by the Department. This Agreed Entry is subject to the review and approval of Carol Cutter, Commissioner for the Indiana Department of Insurance.

WHEREAS, Respondent is a licensed non-resident insurance producer in the State of Indiana, holding license number 540947; and,

WHEREAS, the Department commenced an investigation of Respondent after receipt of information that Respondent was terminated for cause by the Prudential Insurance Company of America for misappropriation of consumer monies; and,

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of an administrative hearing.

IT IS THEREFORE NOW AGREED by and between the parties as follows:

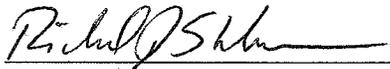
1. The Commissioner has jurisdiction over the subject matter and the Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties. Respondent voluntarily and freely waives his right to a public hearing on this matter.
3. Respondent voluntarily and freely waives his right to petition for judicial review of this agreement and the Commissioner's Final Order.
4. Respondent agrees to the permanent revocation of his Indiana non-resident insurance producer license number 540947.
5. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full resolution of this matter.
6. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for hearing.
7. Respondent has carefully read this agreement and fully understands and accepts its terms.

11/10/09
Date Signed

11/10/2009
Date Signed



Nikolas P. Mann, Attorney
Indiana Department of Insurance



Richard J. Stratman, Respondent

STATE OF _____)
) SS:
COUNTY OF _____)

Before me a Notary Public for Butta County, State of Ohio,
personally appeared Richard J. Stratman and being first duly sworn by me upon his oath, says
that the facts alleged in the foregoing instrument are true. Signed and sealed this 6 day of
November, 2009.

April L Williams
Notary Signature

April L Williams
Printed

My Commission Expires: June 1, 2014

County of Residence: Butta



April L. Williams
Notary Public, State of Ohio
My Commission Exp.
June 1, 2014

Return executed originals to:
INDIANA DEPARTMENT OF INSURANCE
Enforcement Division, Suite 300
311 West Washington Street
Indianapolis, IN 46204-2787
317/233-4243 - telephone
317/232-5251 - facsimile